Proposed Amendment to the
Enforcement Regulation of
Electrical Appliances Safety Control Act

October, 2008

Ministry of Knowledge Economy

1. Reason for the amendment

As the Electrical Appliances Safety Control Act had been amended (Promulgated on December 21, 2007) to establish the 'Korea Product Safety (hereinafter called "KPS") System' in order to differentiate safety management of electrical appliances by level of hazard, to establish a 'Safety examination system' in order to prevent the spread of hazard caused by electric appliances that are not subject to safety certification, to make laws easier to understand, and to complement the imperfection of system management. Thereupon, this amendment is to designate subject electric appliances for KPS and to define the procedure and methods to carry out the KPS system.

2. Key Elements

A. Defined the electrical appliances subject to KPS in Appendix 3, and let administrator of Korean Agency for Technology & Standards define and publish detailed range of subject electrical appliances for KPS (Article 3 of Proposal)
B. Established newly the procedure and method of notifying KPS for subject electrical appliances, method to issue certificate of the notification. Also made it possible for manufacturer or importer of subject electrical appliances for KPS to submit batch notification when applying for KPS test (Article 19 of Proposal).
C. Established newly regulations about procedure and method of notifying changes on contents of KPS notification, and required documents that any business operator who notified KPS shall retain (Article 20, Article 21 of Proposal).
D. Regulated confirmation procedure and required documents to submit for exemption from KPS notification etc. of subject electrical appliances for KPS, which are export-only and not to be domestically marketed (Article 22 of Proposal).
E. Regulated safety inspection procedure and required documents to submit for any person who intends to import and sell or lease used electrical appliances that are subjects to KPS (Article 23 of Proposal).
F. Regulated that used electrical appliances subject to safety certificate and subject to KPS should display unique product number on the safety certification mark (Appendix 7 pursuant to Article 14 and 23 of Proposal).
G. Regulated safety certification mark and KPS mark for subject electrical appliances to be KC mark (Appendix 7 pursuant to Article 15 and 23 of Proposal).
H. Established newly the items and method which any manufacturer or importer of electrical appliances that are subject to KPS to display, on pertinent electrical appliances and on the packages (Article 24 of Proposal), etc.

3. References

A. Related laws and regulations: Omitted
B. Budgetary measure: No special measures
C. Agreement:
D. Other:

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Ministry of Knowledge Economy
Ministerial Ordinance No. xxx

Proposed amendment to the Enforcement Regulation of Electrical Appliances Safety Control Act

Hereby wholly amend the Enforcement Regulation of Electrical Appliances Safety Control Act as follows.

Article 1 (Purpose) The purpose of this Regulation is to enact provisions that were entrusted from the Electrical Appliances Safety Control Act and Enforcement Ordinance of the same Act and to stipulate necessary matters to carry out the provisions.

Article 2 (Definitions) The definitions of terms that were used in this Regulation are as follows:

1. "Model" means a product that is given a unique own name per product in order to distinguish electrical appliances by design and function.
2. "Basic Model" means a model of which the safety standard by product group as well as part that have direct influence on safety, as classified in Appendix 1, had safety certified as a sample among the same product group of electrical appliances or KPS notified electrical appliances.
3. "Series Model" means a model that is other than the basic model among a product group of the same safety standard by product group as well as parts that have direct influence on safety, as classified in Appendix 1.

Article 3 (Scope of Electrical Appliances Subject to Safety Certification, etc.) (1) Electrical appliances subject to safety certification in accordance with provisions of Article 2 Paragraph 3 of Electrical Appliances Safety Control Act (referred as "Act" hereinafter), are those defined in Appendix 2 and are used within range of AC voltage power supply between 50V and 1,000V.
(2) Electrical appliances subject to KPS in accordance with provisions of Article 2 Paragraph 4 of Act are those defined in Appendix 3 and are used within range of AC voltage between 50V and 1,000V.
(3) Detailed scope for electrical appliances subject to safety certification pursuant to regulations of Appendix 2 and electrical appliances subject to KPS pursuant to regulations of Appendix 3 shall be defined and published by the administrator of the Korean Agency for Technology & Standards.

Article 4 (Applying for Safety Certification) (1) Any person who intends to obtain safety certification for an electrical appliance that is subject to safety certification in accordance with provisions of Article 3 Paragraph 1 of Act, shall submit an Application for Electrical Appliance Safety Certification to an organization, which complies with provisions of Article 9 Paragraph 1 of the Act (referred as "safety certification body" hereinafter) before distribution or before passage through customs. Documents specified in the following Subparagraphs shall be attached to the application. In this case, a foreign electrical appliances manufacturer may assign an agent resident in Korea and apply through the agent.

1. Product description (including user manual)
2. An electric circuit diagram
3. List of parts
4. Documentary evidence for agent (only when an agent is applying)

(2) When applying for safety certification pursuant to regulations of Paragraph (1), the applicant shall submit samples specified in the Subparagraphs below. In this case, the number of samples shall be 1 if there is not any specified condition in safety standards in accordance with Article 3 Paragraph 3 of Act (referred as "safety standards" hereinafter), 2 if electromagnetic waves interference test is required for the safety certification test.

1. The electrical appliance concerned
2. Part(s) of the appliance that do not have safety certification mark
3. Other part(s) that were designated as needed for safety certification by the Minister of Ministry of Knowledge Economy

Article 5 (Application Processing Period) (1) The safety certification body shall notify the applicant acceptance or rejection of safety certification within 45 days from the submission of Application for Electrical Appliance Safety Certification pursuant to Article 4 Paragraph 1. However, if the nature of the electrical appliance concerned requires longer than a 30 day testing period, the processing period may be extended for that exceeding testing period.
(2) If the safety certification body is expecting the delay of notification pursuant to Paragraph (1) due to inevitable reasons such as rapid growth of applications, it shall inform the applicant the reason and prospective processing period.

Article 6 (Issue of Electrical Appliances Safety Certificate, etc.) (1) When a safety certification body is conducting safety inspection, it shall verify the electrical appliance concerned for matters stipulated in Appendix 4.
(2) When a safety certification body is inspecting an electrical appliance factory to assess its manufacturing facility, testing facility, technical competence and manufacturing system in accordance with provisions of Article 3 Paragraph 3 of Act and Appendix 4, it shall write a report on factory inspection after the form of which defined and published by the administrator of Korean Agency for Technology & Standards.
(3) After factory inspection pursuant to Paragraph (1) and (2), if the result shows that the electrical appliance conforms to the safety standard and manufacturer's manufacturing facility, testing facility, technical competence and manufacturing system conform to the criteria of which defined and published by the minister of Ministry of Knowledge Economy, the safety certification body shall issue a safety certification number as well as

a safety certificate in accordance with regulations of Appendix 5, and shall make an entry in the ledger (including entry into computerized system).

Article 7 (Confirmation of Exemption from Safety Certification) (1) Any person who intends to be confirmed in accordance with Article 3 Paragraph 1 Subparagraph 1 of Act shall submit an Application for Confirmation of Exemption from Safety Certification to the Mayor of metropolitan government, Mayor of metropolitan city, Governor of province or Governor of special self-governing province (referred as "Mayor/Governor" hereinafter) or the Korea Electric Testing Institute (referred as "Institute" hereinafter) before distribution or before customs clearance. Documents specified in Subparagraphs below shall be attached to the application.

1. A document that proves the electrical appliance concerned is being manufactured or imported for the purpose of ministerial ordinance of the Ministry of Knowledge Economy defined research, development, import or display in accordance with provisions of Article 3 Paragraph 1 Subparagraph 1 of Act.
2. Product description and operating manual. But, if the electrical appliance concerned is being imported for exportation, this may be excluded.

(2) The officer in charge shall verify the business registration certificate via the administrative information pool pursuant to Article 21 Paragraph 1 of 'Act on Promotion of the Digitalization of Administrative Affairs, etc. for Creation of Electronic Government'. However, if the applicant did not agree to the verification, the applicant shall submit a copy of the business registration certificate.

(3) When the applied electrical appliance pursuant to Paragraph (1) has been confirmed as suitable to provisions of Article 3 Paragraph 1 Subparagraph 1 of Act, the Institute shall issue a Confirmation of Exemption from Safety Certification for Electrical Appliances.

(4) If the application for confirmation of exemption has been approved pursuant to regulation in Paragraph (3), the Institute shall issue an exemption mark, which is defined by ministerial ordinance of the Ministry of Knowledge Economy, and the applicant shall affix this mark on the appropriate electrical appliance. But, if the electrical appliance concerned is being imported for exportation, the exemption mark may not need to be affixed.

(5) Any person who intends to get batch exemption of safety certification for electrical appliances subject to safety certification that are being imported for exportation in accordance with provisions of Article 3 Paragraph 1 Subparagraph 1 of Act shall submit an Application for Confirm Batch Exemption from Safety Certification for Imported Export-only Electronic Appliances to the Mayor/Governor. In this case, if the electrical appliance concerned is repeatedly being imported for exportation or scheduled to be repeatedly imported in the future, the Mayor/Governor may exempt safety certification of the electrical appliance concerned for a certain period en bloc as provided by ministerial ordinance of the Ministry of Knowledge Economy.

(6) The application form for confirm batch exemption from safety certification for imported export-only electronic appliances pursuant to regulation in Paragraph (5) is as attached Form 6. For an Application for Confirmation of Batch Exemption from Safety Certification for Imported Export-only Electronic Appliances, a certificate of import

declaration as well as data about the amount of imported electrical appliances for export-only and number of importation for the past year shall be attached to the form.
(7) When the Mayor/Governor approves the application for batch exemption of safety certification of imported electrical appliances for export-only, as regulated in the latter part of Paragraph (5), the Mayor/Governor shall issue Form 6 of confirmation form to the applicant.
(8) Marking the exemption of safety certification in accordance with the provisions of Article 2 Paragraph 2 of 'Enforcement Ordinance of the Electrical Appliances Safety control Act' (referred as "Ordinance" hereinafter) is as specified in Appendix 7.

Article 8 (Changes on Safety Certificate) (1) When any person intends to change the content of the electrical appliance safety certificate issued in accordance with regulation in Article 6 paragraph 3, an Application for Changes of Safety Certificate shall be submitted with documentary evidence attached to it.
(2) If the applied change(s) of safety certificate falls into one of following Subparagraphs, the safety certification body may test the safety of the electrical appliance concerned.

1. In the case of changing a part or material, which are written on the electrical appliance safety certificate, other than those that have direct influence on electrical safety as provided by ministerial ordinance of the Ministry of Knowledge Economy.
2. In the case that the serial model (Refers models of an electrical appliance other than the basic model among electrical appliances of the same model. The same shall apply hereinafter) is being added or changed.

Article 9 (Application Form for Safety Certification, etc.) (1) The application for electrical appliance safety certification pursuant to regulation in Article 4 Paragraph 1 is as attached Form 1.
(2) The electrical appliance safety certificate pursuant to regulation in Article 6 is as attached Form 2.
(3) The application for change of electrical appliance safety certificate pursuant to regulation in Article 8 Paragraph 1 is as attached Form 3.
(4) The application for confirmation of exemption from safety certification for electrical appliance subject to safety certification pursuant to regulation in Article 7 Paragraph 1 is as attached Form 4.
(5) The application for confirmation of exemption of safety certification for electrical appliance subject to safety certification pursuant to regulation in Article 7 Paragraph 3 is as attached Form 5.

Article 10 (Conditions of Safety Certification) Conditions below may apply when certifying safety in accordance with provisions of Article 3 Paragraph 4 of Act.

1. Conduct self inspection
2. Supplementary measures to product, manufacturing facility or testing facility
3. Report for the fulfilment of conditions

Article 11 (Periodical Inspection) In the case that the safety certification body is to conduct periodical inspection in accordance with provisions of Article 4 Paragraph 1 of

Act, it shall inform the subject of inspection by 7 days before the inspection date, and shall issue a written document for the result.

Article 12 (Self Inspection) When a manufacturer of an electrical appliance subject to safety certification is to carry out self inspection in accordance with provisions of Article 4 Paragraph 2 of Act, it shall be done according to the standards stipulated in Appendix 6, then shall record following items and retain the record for more than 3 years.

1. Product name and model of the electrical appliance
2. Inspection date and place of the inspection
3. Name of the inspector
4. Quantity of inspection
5. Inspection result (If there is an inspection report pursuant to regulations of Paragraph 1 Subparagraph A of Appendix 6, this shall be included)

Article 13 (Contract for Test Result Acknowledgment) (1) A domestic/foreign organization with which a safety certification body may make contract in accordance with provisions of Article 4 Paragraph 4 of Act shall fall under one of the following Subparagraphs.

1. An organization that was accredited by IEC System for Conformity Testing to Standards for Safety of Electrical (IECEE)
2. An organization that meets requirements for a testing/inspection body by international standards (ISO/IEC 17025)
3. An organization that meets requirements for a testing/inspection body in accordance with provisions of Article 23 of 'Framework Act on National Standards'

(2) The contract pursuant to regulations of Paragraph (1) shall be made within the scope of products that were recognized by IECEE or ISO/IEC 17025.

Article 14 (Safety Inspection for Imported Used Electrical Appliances) (1) Any person who intends to have imported used electrical appliances inspected complying with provisions of Article 5 of Act shall submit an Application for Imported Used Electrical Appliance Safety Inspection to a safety certification body, per model (refers to a form of the product with a unique own name as provided by ministerial ordinance of the Ministry of Knowledge Economy. The same shall apply hereinafter), within 30 days from the date of customs clearance.
(2) When a safety certification body is conducting the test complying with provisions of Article 5 of the Act, it shall verify whether the actual quantity of goods imported match with the quantity in the application pursuant to Paragraph (1). It also shall verify if the imported used electrical appliances meet safety standards by taking samples from each model.
(3) If the imported used electrical appliances pass safety inspection, the safety certification body shall issue a certificate of electrical appliance safety inspection to the applicant. It also shall issue safety inspection marks as many as the number of passed appliances.
(4) Safety inspection marks issued pursuant to Paragraph (3) shall be affixed to the appropriate electrical appliances ensuring they shall not fall off.

(5) The regulations of Article 4 Paragraph 1 Subparagraph 1, 2, 4 and Article 5 apply correspondingly to this article about safety inspection. In this case “Safety certification’ shall be considered as “Safety inspection”.
(6) The application form for imported used electrical appliances safety inspection pursuant to regulation of Paragraph (1) is as attached Form 1.
(7) The Certificate of electrical appliance safety inspection pursuant to regulation of Paragraph (3) is as attached Form 7.

Article 15 (Marking Safety Certificate, etc.) (1) Marking methods for safety certificates etc. in accordance with provisions of Article 6 of the Act (referred as “Marking safety certificate etc.” hereinafter) are as in Appendix 7.
(2) Marking safety certificates etc. shall be completed before distribution if the product is being manufactured domestically, and shall be completed before customs clearance if the product is being manufactured abroad and then being imported.

Article 16 (Revocation of Safety Certificates, etc.) (1) The standard of administrative measures for revoking safety certificates, suspending the use of safety certificate marks and orders of improvement complying with provisions of Article 8 of Act are as in Appendix 8.
(2) When revoking a safety certificate, suspending the use of a safety certificate mark and giving an order of improvement, the safety certification body shall do so in writing with the reasoning and period specified in it.

Article 17 (Standards for Recognition of Safety Certification Body, etc.) (1) The standards for the safety certification body recognition in accordance with provisions of Article 9 Paragraph 1 of Act are as in Appendix 9.
(2) Any person who intends to be recognized as a safety certification body pursuant to regulation in Paragraph (1) shall submit an application after the Form 8 (including electronic application) along with documents specified in the following Subparagraphs (including electronic documents) to the administrator of the Korean Agency for Technology & Standards.

1. The articles of corporation or code of the organization.
2. Business plan with information such as system, status of human resources, testing facilities for safety certification.
3. Business rules that define the process and method to conduct safety certification

(3) The officer in charge shall verify transcript of register of the corporation via administrative information pool pursuant to Article 21 Paragraph 1 of ‘Act on Promotion of the Digitalization of Administrative Affairs, etc. for Creation of Electronic Government’. However, if the applicant did not agree to the verification, the applicant shall attach a copy of transcript of register.
(4) If the applicant is deemed as suitable to recognition standards specified in Paragraph (1), the administrator of the Korean Agency for Technology & Standards shall designate the applicant as a safety certification body, providing the scope of safety certification, safety inspection and KPS test (referred as “scope of safety certification” hereinafter) according to the product classification of Appendix 2 and Appendix 3.
(5) When the applicant is recognized as a safety certification body pursuant to the regulations of Paragraph (3), the administrator of the Korean Agency for Technology &

Standards shall issue a letter of recognition, and notify the facts in the following Subparagraphs to the public.

1. Name of safety certification body
2. Address of safety certification body
3. Recognition date and recognition number
4. The scope of safety certification

(6) When any changes occur in items specified in Paragraph 5 Subparagraph 1 or Subparagraph 2, the safety certification body shall submit documentary evidence to the Administrator of the Korean Agency for Technology & Standards.
(7) If the administrator of the Korean Agency for Technology & Standards is informed of changes pursuant to paragraph (5), he/she shall issue a new letter of recognition as such the changes to be reflected.

Article 18 (Standards for Revocation Recognition and Suspension of Business of Safety Certification Body, etc.) (1) The standards for revocation recognition and suspension of business of the safety certification body in accordance with provisions of Article 10 of Act are as in Appendix 10.
(2) When the administrator of the Korean Agency for Technology & Standards revokes recognition or suspends the business of a safety certification body, he/she shall immediately notice this to public.

Article 19 (Notifying Electrical Appliances Subject to KPS) (1) Manufacturers or importers who intend to notify KPS in accordance with Article 11 Paragraph 1 of Act shall submit notification for KPS of attached Form 10 to safety certification body per appliance model subject to KPS before distribution or customs clearance. Documents specified in following Subparagraphs shall be attached to the form.

1. A copy of the business registration certificate
2. Product description (including photos)
3. Test report for electrical appliance subject to KPS from safety certification body in accordance with provisions of Article 9 Paragraph 1 of Act.

(2) The safety certification body who was notified KPS pursuant to Paragraph (1) shall issue certificate of KPS notification of attached Form 11 along with a KPS notification number, and shall make an entry in the ledger (including entry into computerized system).
(3) When a manufacturer or importer of an electrical appliance subject to KPS is applying test for KPS in accordance with provisions of Article 11 paragraph 1 of Act, he/she may submit notifications of KPS pursuant to paragraph (1) en bloc.

Article 20 (Reporting Changes on Electrical Appliances subject to KPS) (1) Any person who notifies KPS in accordance with the provisions of Article 19 Paragraph 1 of Act of intention to change notified facts, he/she shall submit Notification of Changes on KPS of attached Form 3 to safety certification body. Documentary evidence shall be attached to the Form.
(2) If the notified changes on the electrical appliance subject to KPS fall into one of following Subparagraphs, the safety certification body may conduct a safety test on the electrical appliance concerned.

1. In the case of changing parts or material, which are written on the electrical appliance safety certificate, other than those that have direct influence on electrical safety as provided by ministerial ordinance of the Ministry of Knowledge Economy.
2. In the case that a serial model (Refers to models of an electrical appliance other than the basic model among electrical appliances of the same model. The same shall apply hereinafter) is being added or changed.

Article 21 (Relevant Documents to Keep) (1) To comply with Article 11 Paragraph 4 of Act, a manufacturer or importer of an electrical appliance subject to KPS shall keep documents specified in the following Subparagraphs.

1. Product description (including photo)
2. KPS test report for electrical appliance subject to KPS from safety certification body
3. The certificate of KPS notification pursuant to Article 19 Paragraph 2 and Article 20 Paragraph 2.

Article 22 (Confirm of Exemption from KPS Notification, etc.) (1) Any person who wishes to be confirmed exempt in accordance with provisions of Article 11 Paragraph 1 Subparagraph 1 of Act shall submit an Application for Confirmation of Exemption from Notifying KPS etc. to the Mayor/Governor or to the Institute before distribution or before customs clearance. Documents specified in Subparagraphs below shall be attached to the form.

1. A document that proves the electrical appliance concerned is being manufactured or imported for the purpose of ministerial ordinance of the Ministry of Knowledge Economy defined research, development, import or display in accordance with provisions of Article 11 Paragraph 1 Subparagraph of Act.
2. Product description and operating manual. However, if electrical appliance concerned is being imported for exportation, this may be excluded.

(2) The officer in charge shall verify the business registration certificate via the administrative information pool pursuant to Article 21 Paragraph 1 of 'Act on Promotion of the Digitalization of Administrative Affairs, etc. for Creation of Electronic Government'. However, if the applicant did not agree to the verification, the applicant shall submit a copy of business registration certificate.

(3) When the applied electrical appliance pursuant to Paragraph (1) has been verified to be complying with provisions of Article 11 Paragraph 1 Subparagraph 1 of Act, the Institute shall issue written confirmation of exemption from KPS notification.

(4) If the application for confirmation of exemption from KPS notification etc. has been approved, the Institute shall issue exemption mark, which defined by ministerial ordinance of the Ministry of Knowledge Economy, pursuant to regulation in Paragraph (3), and the applicant shall affix this mark on the appropriate electrical appliance. But, if the electrical appliance concerned is being imported for exportation, the exemption mark may not need to be affixed.

(5) Any person who intends to get batch exemption of KPS notification etc. for electrical appliances subject to KPS that being imported for exportation in accordance with provisions of Article 11 Paragraph 1 Subparagraph 1 of Act, shall submit an Application for Confirm Batch Exemption from KPS notification etc. to the Mayor/Governor. In this case, if the electrical appliance concerned is repeatedly being imported for exportation or

scheduled to be repeatedly imported in the future, the Mayor/Governor may exempt safety certification of the electrical appliance concerned for a certain period en bloc as provided by ministerial ordinance of the Ministry of Knowledge Economy.
(6) The application for batch exemption of imported electrical appliances for export-only pursuant to regulation in Paragraph (5) is as attached Form 6. For an application form for batch exemption of KPS notification etc. of imported electrical appliances for export-only, a certificate of import declaration as well as data about amount of imported electrical appliances for export-only and number of importation for the past year shall be attached to the form.
(7) When a Mayor/Governor approves the application for batch exemption of KPS notification etc. of imported electrical appliances for export-only, as regulated in the latter part of Paragraph (5), the Mayor/Governor shall issue Form 6 of confirmation form for the batch exemption of imported electrical appliances for export-only to the applicant.
(8) Marking the exemption of KPS notification etc. in accordance with the provision of Article 2 Paragraph 2 of Ordinance is as specified in Appendix 7.

Article 23 (Safety Inspection for Imported Used Electrical Appliances) (1) Any person who intends to have imported used electrical appliances inspected, complying with provisions of Article 12 of Act, shall submit every time of importation an application for imported used electrical appliances safety inspection to a safety certification body per model (refers to a form of the product with a unique own name as provided by ministerial ordinance of the Ministry of Knowledge Economy. The same shall apply hereinafter), within 30 days from the date of customs clearance.
(2) When a safety certification body is conducting the test complying with provisions of Article 12 of Act, it shall verify whether actual quantity of goods imported match with the quantity in the application pursuant to Paragraph (1). It also shall verify if the imported used electrical appliance meets safety standards by taking samples from each model.
(3) If the imported used electrical appliances pass safety inspection, the safety certification body shall issue a certificate of electrical appliance safety inspection to the applicant. It also shall issue safety inspection marks as many as the number of passed appliances.
(4) Safety inspection marks issued pursuant to regulation of Paragraph (3) shall be affixed to the appropriate electrical appliances ensuring they shall not fall off.
(5) The application for imported used electrical appliances safety inspection pursuant to regulation of Paragraph (1) is as attached Form 10.
(6) The Certificate of electrical appliance safety inspection pursuant to regulation of Paragraph (3) is as attached Form 7.

Article 24 (Marking KPS Notification etc.) (1) Marking methods for KPS notification etc. in accordance with provisions of Article 13 Paragraph 1 of Act (referred as “Marking of KPS” hereinafter) are as in Appendix 7.
(2) Marking of KPS shall be completed before distribution if the product is manufactured domestically, and shall be completed before customs clearance if the product is manufactured abroad and then being imported.

Article 25 (Order Performance Plan, etc.) (1) The performance plan of order in accordance with provisions of Article 8 Paragraph 3 of Ordinance shall include items specified in following Subparagraphs.

1. Name, the date of manufacture, and model of the product
2. Facts of the defect and the cause
3. Expected hazards and troubles if the defect were not corrected, and matters that require attention
4. Method to correct the defect and period of correction
5. Method to give consumers a notice

(2) The regulation of defect correction method of Paragraph (1) Subparagraph 4 shall include matters such as fixing of defect, exchange with the same product, refund of purchase money.

Article 26 (Request for Supplement to Order Performance Plan, etc.) If the order performance plan submitted by a person responsible for carrying out the order, in accordance with provision of Article 8 Paragraph 3 of Ordinance, and the actual conditions of performance were deemed to be insufficient to correct defect on the electrical appliance concerned, the Mayor/Governor may request a supplement.

Article 27 (Constitution of Electric Appliance Technology Committee) (1) The Electric Appliance Technology Committee (referred as "Committee" hereinafter) will be constituted under the Korean Agency for Technology & Standards to respond consultations of the Administrator about matters specified in following Subparagraphs.

1. Matters about recognition of a safety certification body
2. Matters about establishment of safety standards in accordance with provisions of Article 3 Paragraph 3 of Act
3. Matters about marking methods for safety certification in accordance with provisions of Article 3 of Act and marking methods etc. for safety inspection in accordance with provisions of Article 5 of Act

(2) The Committee will be composed of not more than 15 committee members including a chairperson.
(3) The director for one of electrical appliances safety related bureaus in the Korean Agency for Technology & Standards shall become the chairperson. The Administrator of the Korean Agency for Technology & Standards shall appoint committee members from relevant public officials and from persons with a good deal of knowledge and experience in electrical appliances.
(4) The Committee may have special committees of not more than 15 members by expertise area.
(5) The Committee shall have a secretary and a clerical staff, and they shall be appointed among officials of the Korean Agency for Technology & Standards by the administrator.

Article 28 (Administer the Committee, etc.) (1) The chairperson represents the Committee and is in charge of general business of the Committee.
(2) If the chairperson is not able to carry out duties due to inevitable reason, a committee member who had been nominated beforehand shall become an acting chairperson.
(3) The chairperson calls meetings and becomes the chairman of meetings.

(4) At least half of enrolled committee members must be present for a Committee meeting to be valid, and a resolution is adopted by simple majority of votes by members present.
(5) Committee members who presented a Committee meeting or a special committee may be provided with allowances and travel expenses within the budget. However, this shall not apply for a committee member who is a public officer and presented a Committee meeting or a special committee for matters related to usual business area.

ADDENDUM

Article l (Date of Enforcement) This regulation shall come into force from January 1st, 2009.

[Appendix 1] <Revised August 04, 2008>

Safety Criteria and Parts that Directly Influence the Safety by Product Group

(Related to Article 2-2)

1. Safety Standards by electrical appliances product group

Classification	Safety Criteria
A. Cables and Cords	o Rated voltage o Number of cores o Area of cross section or diameter o Main material of conductor
B. Switches for electrical devices	o Rated voltage o Rated current o Waterproof protection rating o Number of electrode o Contact material
C. Capacitors for power source and power filter	o Rated voltage o Rating o Capacity
D. Installation accessories and connection devices	o Rated voltage o Waterproof protection rating o Rated current o Type o Form (electrode arrangement) o Whether electric wire is attached to connector or not

Classification		Safety Criteria
E. Protective equipments for electrical appliances	Small size fuses and link fuses	o Structure o Rated voltage o Rated interrupting capacity o Rated current
	Temperature fuses	o Rated voltage o Rated current o Nominal operating cell temperature
	Moulded case circuit breaker	o Number of electrode o Rated voltage o Rated interrupting capacity o Rated current o Over current trip device
	Earth leakage circuit breaker	o Number of electrode o Rated voltage o Rated interrupting capacity o Rated residual operating current o Leakage trip operating time o Rated current o Over current trip device
F. Isolating transformers		o Rated voltage o Rated capacity o Secondary voltage o Isolation type of coil
G. Electric machines and devices		o Rated voltage o Rated input (Rated current) o Waterproof protection rating o Isolation rating o Shape of heat generating part

Classification	Safety Criteria
H. Machine tools	o Rated voltage o Rated input (Rated current) o Waterproof protection rating o Isolation rating
I. Audio/Video applications	o Rated voltage o Rated input o Waterproof protection rating o Isolation rating
J. Information/Business machines	o Rated voltage o Rated input o Waterproof protection rating o Isolation rating
K. Lighting appliances	o Rated voltage o Rated input (Rated current) o Number of lamps o Waterproof protection rating o Place of use (Indoor/Outdoor) o Type of the lamp

2. Parts that directly influence safety

Classification	Range
A. Components used between primary and secondary electric circuit	o Transformer o Relay to open and shut electricity (Including relay or those that were made as semiconductor chips) o Photo coupler o X/Y condenser, which prevents electric shock by momentary over-voltage or over-current
B. Components used for the parts that would possibly cause fire or cause problem on isolation when the components were changed	o Automatic or manual temperature control (Including mechanical and semiconductor circuit) o Equipments for temperature over-rising o Transformer for generating high-pressure (Fly back transformer)
C. Components used for places that would possibly cause fire if overloaded or if the electricity turned on for long time	o Electromotor o Magnetic clutch, a electronic conversion device that is operated by magnetism
D. Materials that is used to protect users from electric, heat, mechanical, chemical dangers	o Materials that isolate between part where AC voltage is higher than 30V or DC voltage is higher than 60V and part where contactable by users o External materials that prevent blaze spread to the exterior of product when fire broke out inside the circuit o Materials of parts for absorbing mechanical impact or preventing chemical hazard

Note: The Administrator of the Korean Agency for Technology & Standards may, if necessary, set detailed safety criteria about safety standards by electrical appliances product group and detailed range for parts that directly influence the safety.

[Appendix 2] <Revised August 04, 2008>

Electrical Appliances Subject to Safety Certification (Related to Article 3)

Classification	Items
1. Cables and Cords	A. Electric wires, cables and codes etc.
2. Switches for electrical devices	A. Switches B. Electromagnetic switches
	Remarks: Products designed specially to be attached to machines/instruments and explosion-proof types are excluded.
3. Capacitors for power source and power source filters	A. Capacitors and power source filters
	Remarks: Applies only to those under 100Hz.
4. Accessories for electric installation and connecting components	A. Accessories for electric installation and connecting components
	Remarks: Explosion-proof types are excluded.
5. Protection components for electrical appliances	A. Fuses and fuse holders B. Circuit breaker for machinery and tools protection
6. Isolating transformers	A. Transformers and voltage regulators
	Remarks: Those with rated capacity of less than 5kVA and designed specially to be attached to machines/instruments are excluded.
7. Electric devices	AA. Electric vacuum cleaner AB. Electric iron and electric presser AC. Dish washer and dish dryer AD. Electric heating equipment for kitchen AE. Washer and dryer AF. Appliances for hair treatment

Classification	Items
	AG. Electric warmer and heating cabinet AH. Electromotor for kitchen AI. Electric liquid heater AJ. Electric blanket and mattress, electric bed AK. Electric fomenter, feet warmer AL. Electric water heater AM. Electric refrigerator, freezer AN. Microwave oven AO. Electrical sewing machine AP. Electric charger AQ. Electric dryer AR. Electric heater AS. Electric massager AT. Air conditioner and dehumidifier AU. Fluid pump AV. Electric heater AW. Sauna equipment AX. Electronic machine for ornaments and pets AY. Bubble generator AZ. Electronic insecticide BA. Electric bathtub BB. Air cleaner BC. Vending machine BD. Fan, range hood BE. Electric machine and device for bathroom BF. Humidifier BG. Electric sprayer BH. Electric sterilizer

Classification	Items
	BI. Food waste disposer BJ. Damp towel rolling and packing machine BK. Other machines and tools similar to items from AA to BJ
	Remarks: Applies only items that have rated input lower than 10kW, and items that fall under one of criteria below are excluded; 1) Explosion-proof types 2) Amongst electric mattresses, electric fomenters, facial sauna equipments, infrared/ ultraviolet radiation skincare devices, electric massagers, electrical steam saunas, bathtubs for half bath and foot bath, those that belong to medical devices specified in Article 2-1 of 'Medical Devices Act'
8. Machine tools	A. Machine tools
	Remarks: Applies only items that have rated input lower than 1.5kW
10. Information/Business devices	A. Copiers B. Direct current power supply systems C. Uninterruptible power supply systems D. Coating machines (Laminators) E. Other machines and tools similar to items from A to D
	Remarks: 1. Products designed specially to be attached to machines/instruments are excluded. 2. If the item that is stipulated in the provisions of Article 57 of 'Radio Waves Act' and Article 3 Paragraph 4 of 'Certification Rules for Information and communication devices' and regulations of Appendix 4 has taken relevant test by one of following testing bodies, the testing is exempted. A. Testing bodies that were designated by the 'Radio Waves Act' among the certified testing or inspection facilities under the provisions of Article 23 of the 'Framework Act on National Standards'.

Classification	Items
	B. Testing bodies that were designated by countries of which had concluded a treaty of Mutual Recognition Agreement under the 'Radio Waves Act' (Applies only for the cases that are suited to international standard ISO/IEC 17025).
11. Lighting appliances	A. Lamp holders (Sockets) B. General lighting devices C. Stabilizers (Lamp control devices) D. Lamps with built-in stabilizer

[Appendix 3] <Revised August 04, 2008>

Electrical Appliances Subject to KPS (Related to Article 3)

Classification	Items
2. Switches for electrical devices	A. Control elements for electrical devices
	Remarks: Products designed specially to be attached to machines/instruments are and explosion-proof types are excluded.
6. Isolating transformers	A. High frequency welders B. Electric welders
	Remarks: Those that have rated capacity less than 5kVA and designed specially to be attached to machines/instruments are excluded.
7. Electric devices	AA. Devices for haircutting and cosmetic use AB. Fruit peelers AC. Potato peelers AD. Electric rice polishing machines AE. Electric bread cutters AF. Electric dissolver AG. Electric clocks AH. Infrared/ ultraviolet radiation skincare devices AI. Electric waterspout AJ. Oral irrigators AK. Projectors AL. Insect controls AM. Electric air curtains

Classification	Items
	AO. Heat recovery ventilators AP. Electric fumigators AQ. Fabric lint removers AR. Electric hot-water mattresses AS. Electric roll screens AT. Oxygen/ion concentrators AU. Electric water purifiers AV. Compressors AW. Gaming instruments AX. Service devices AY. Ultrasonic washers AZ. Sprouts/bean sprouts cultivators BA. Oil mills BB. Electric chairs and beds BC. Electric liquor ripening machines BD. Electric door locks BE. Electric exercise equipments BF. Electric dust collectors BG. Tap water antifreeze systems BH. Pet baths BI. Electromotor BJ. Other machines and tools similar to items from AA to BJ
	Remarks: Applies only items that have rated input lower than 10kW, and items that fall under one of criteria below are excluded; 1) Explosion-proof types 2) Amongst electric mattresses, electric fomenters, facial sauna equipments, infrared/ ultraviolet radiation skincare devices, electric massagers, electrical steam saunas, bathtubs for half bath and foot bath, those that belong to medical devices specified in Article 2-1 of 'Medical Devices Act'

Classification	Items
9. Audio/Video applications	AA. Television sets AB. Video monitors AC. Video tape players AD. Video cameras AE. Tuners AF. Editors AG. Disk players AH. Radio receivers AI. Amplifiers AJ. Receivers AK. Voice recorders AL. Players AM. Audio systems AN. Electronic musical instruments
	AO. Satellite broadcasting receivers AP. Video game systems AQ. Videophones (Items that are subjects to approval on model under Article 33 of 'Framework Act on Telecommunications' are excluded) AR. Television movie projectors AS. Sound quality adjusters

Classification	Items
	BB. Video recorders BC. A/V signal receivers BD. Video processors BE. CATV broadcast receivers BF. Audio and video teaching systems BG. Turn tables BH. Modulators BI. Other machines and tools similar to items from AA to BH
	Remarks: 1. Products designed specially to be attached to machines/instruments are excluded. 2. If the item that is stipulated in the provisions of Article 57 of the 'Radio Waves Act' and Article 3 Paragraph 4 of 'Certification Rules for Information and communication devices' and regulation of Appendix 4 had taken relevant test by one of following testing bodies, the testing is exempted. A. Testing bodies that were designated by the 'Radio Waves Act' among the certified testing or inspection facilities under the provisions of Article 23 of 'Framework Act on National Standards'. B. Testing bodies that were designated by countries of which had concluded a treaty of Mutual Recognition Agreement under the 'Radio Waves Act' (Applies only for the cases that are suited to international standard ISO/IEC 17025).

Classification	Items
10. Information/Business machines	AA. Monitors AB. Printers AC. Projectors AD. Scanners AE. Bills counters AF. Electronic scales AG. Cash registers AH. PC power supplies AI. Language practice systems AJ. Document shredders AK. Drilling machines AL. Cutting machines AM. Bookbinding machines AN. Electronic blackboards and boards AO. Coins counters AP. Electric typewriters AQ. Electro-magnetic erasers AR. Visual presenters AS. 3D displayers AT. Other machines and tools similar to items from AA to AS

Classification	Items
	Remarks: 1. Products designed specially to be attached to machines/instruments are excluded. 2. If the item that is stipulated in the provisions of Article 57 of the 'Radio Waves Act' and Article 3 Paragraph 4 of 'Certification Rules for Information and communication devices' and regulations of Appendix 4 had taken relevant test by one of following testing bodies, the testing is exempted. A. Testing bodies that were designated by the 'Radio Waves Act' among the certified testing or inspection facilities under the provisions of Article 23 of 'Framework Act on National Standards'. B. Testing bodies that were designated by countries of which had concluded a treaty of Mutual Recognition Agreement under the 'Radio Waves Act'(Applies only for the cases that are suited to international standard ISO/IEC 17025).
11. Lighting appliances	AA. Fluorescent lamp starters AB. Incandescent electric lamps AC. Discharge lamps AD. Other lighting devices AE. Other lamps

[Appendix 4] <Revised August 04, 2008>

Matters to be Verified for Safety Certification (Related to Article 6-1)

1. Assessment of manufacturer for production facility, testing facility, technological competence and manufacturing system

Assessment of a factory for an electrical appliance that had applied for safety certification shall be done to confirm whether it has the manufacturing facilities, testing facilities, technical competence and manpower to handle its manufacturing system. However, the assessment may be omitted if the model is similar to and would be produced at the same factory with an electrical appliance whose production facility, testing facility, technological competence and manufacturing system has already been verified.

2. Conformity assessment of electrical appliance that is subject to safety certification/KPS

A. A safety certification body shall carry out tests to inspect whether the electrical appliance concerned is suitable for safety standards.
B. When carrying out the tests under the regulation of Paragraph A, if the electrical appliance concerned has used imported parts, the safety certification body shall carry out tests to inspect whether the part in question is suitable for safety standards.
C. If the parts of the electrical appliance concerned have taken safety certification, KPS or obtained Korean Industrial Standard pursuant to 'Industrial Standardization Act', or have submitted a test report issued by IECEE certified testing body that it undertook the testing in compliance with IEC standards, the test under the regulation of Paragraph B may be exempted.
D. In the case a foreign manufacturer has applied for safety certification, KPS, the safety certification body may prepare and issue a necessary sample identification form in order to the test sample to pass through the customs.

[Appendix 5] <Revised August 04, 2008>

Numbering Standard for Safety Certification and KPS Notification

(Related to Article 6-3, Article 19-2)

1. Setting and identifying safety certification numbers

A	D	0	1	0	0	0	1	-	0	0	0	1	A
①	②	③		④					⑤	⑥			⑦

A. In cell ①, enter the code for the safety certification body.

B. In cell ②, enter the area code for the electrical appliance manufacturer as below.

Domestic Areas				**Foreign Areas**	
AREA	CODE	AREA	CODE	AREA	CODE
Seoul Metropolitan Government	A	Gangwon-do	I	Asia	U
Busan Metropolitan City	B	Chungcheongbuk-do	J	America	V
Daegu Metropolitan City	C	Chungcheongnam-do	K	Europe	W
Incheon Metropolitan City	D	Gyeongsangbuk-do	L	Middle East	X
Gwangju Metropolitan City	E	Gyeongsangnam-do	M	Africa	Y
Daejeon Metropolitan City	F	Jeollabuk-do	N	Other	Z
Ulsan Metropolitan City	G	Jeollanam-do	O		
Gyeonggi-do	H	Jeju Special Self-Governing Province	P		
		North Korea	Q		

*** Remarks**

Area classification for foreign countries is as follows.

(1) **Asia:** Nauru, Nepal, New Zealand, Laos, Marshall Islands, Malaysia, Maldives, Mongolia, Myanmar, Micronesia, Vanuatu, Bangladesh, Vietnam, Bhutan, Brunei, Solomon Islands, Sri Lanka, Singapore, Afghanistan, Australia, India, Indonesia, Japan, China, Cambodia, Kiribati, Thailand, Tonga, Tuvalu, Pakistan, Papua New Guinea, Palau, Philippines, Fiji etc.

(2) **America:** Guyana, Guatemala, Grenada, Nicaragua, Dominican Republic, Dominica, Mexico, USA, Barbados, Bahamas, Venezuela, Belize, Bolivia, Brazil, Saint Lucia, Argentina, Haiti, Antigua and Barbuda, Ecuador, El Salvador, Honduras, Uruguay, Jamaica, Chile, Canada, Costa Rica, Colombia, Cuba, Panama, Paraguay, Peru etc.

(3) **Europe:** Georgia, Greece, Netherlands, Norway, Denmark, Germany, Russia, Romania, Luxemburg, Belgium, Bulgaria, Cyprus, Sweden, Swiss, Spain, Armenia, Island, Azerbaijan, Andorra, Albania, Estonia, England, Austria, Yugoslavia, Italy, Kazakhstan, Croatia, Turkey, Portugal, Poland, France, Finland, Hungary etc.

(4) **Middle East:** Lebanon, Bahrain, Saudi Arabia, Syria, United Arab Emirates, Yemen, Oman, Jordan, Iraq, Iran, Israel. Egypt, Qatar, Kuwait etc.

(5) **Africa:** Ghana, Gabon, Nigeria. South Africa, Libya, Morocco, Benin, Senegal, Algeria, Angola, Ethiopia, Uganda, Zaire, Zambia, Central African Republic, Cameroon, Kenya, Congo etc.

(6) **Other:** Guam, Taiwan, Macao, Gibraltar, Hong Kong etc.

C. In cell ③, enter the electrical appliances classification code as below.

Classification	Code	Classification	Code
Cables and Cords	01	Electric machines and devices	07
Switches for electrical devices	02	Machine tools	08
Capacitors for power source and power filter	03	Audio/Video applications	09
Installation accessories and connection devices	04	Information/Business machines	10
Protective equipments for electrical appliances	05	Lighting appliances	11
Isolating transformers	06		

D. In cell ④, enter 4-digit serial number for manufacturing factory of the same area and same product.

E. In cell ⑤, enter the last digit of the year (e.g.: year 2000→ 0, year 2001→ 1, year 2002 → 2).

F. In cell ⑥, enter 3-digit serial number for the basic model (Refers the model that has safety certified as a typical sample for the same model electrical appliance. The same shall apply hereinafter).

G. In cell ⑦, enter reissuance code for electrical appliance safety certificate or KPS notification certificate that was given with the application for the change of basic model (The first basic model does not have reissuance code. However, when any changes were made on contents of electrical appliance safety certificate or KPS notification certificate, a reissuance code is given. Starting with A for the first change, the code would become B → C and so on for the following changes. This is the way to manage changes on electrical appliance safety certificates or KPS notification certificates). This could be omitted in the case if the code is to be displayed on the product.

2. Using safety certificate number and KPS notification number

A. When issuing an electrical appliance safety certificate in accordance with the provision of Article 6 Paragraph 3, KPS notification certificate in accordance with the provision of Article 19 Paragraph 2, record the safety certificate number and KPS notification number respectively on the certificates.

B. The Safety certificate number (in accordance with the provision of Article 6 Paragraph 1 of Act) and KPS notification number (in accordance with the provision of Article 13 Paragraph 1 of Act) are among other required markings. Thus, shall be displayed on the products that had electrical appliance safety certified or KPS certified along with safety certificate or KPS mark.

[Appendix 6] <Revised August 04, 2008>

Self Inspection Standards (Related to Article 12)

1. General standards for self inspection

A. Self inspection of electrical appliances

1) Inspecting raw materials: Shall check against items for raw materials, which were designated and published by the administrator of the Korean Agency for Technology & Standards (KATS), whether they conform to the safety standards stipulated in the provisions of Article 3 Paragraph 3 of the Act. In this case, an inspection report from a raw materials supplier that meets the self-inspection standards shall be considered as the completion of self-inspection.
2) Inspection processes: Shall check against items for the process, which were designated and published by administrator of KATS, whether they conform in principle 100% to the safety standards stipulated in the provisions of Article 3 Paragraph 3 of Act.
3) Inspecting the product: Shall check the product's safety standards, which are listed in Appendix 1-1, whether they conform to the safety standards stipulated in the provisions of Article 3 Paragraph 3 of the Act. If the item being assessed is also included in raw materials inspection or process inspection, this product inspection may be omitted.

B. The administrator of KATS shall designate and publish other details about self-inspection standards.

2. Self inspection regulations for manufacturers

Manufactures subject to self-inspection shall set regulations for self-inspection and shall carry out the inspection accordingly. In this case, the items specified paragraphs below must be included in the regulation.

A. Sampling method for the self-inspection
B. Method to get samples for the self-inspection
C. Testing method for the electrical appliance concerned
D. Criterion for judging success or failure of self-inspection results
E. Management scheme for failed appliances
F. Way to take advantage of the self-inspection results

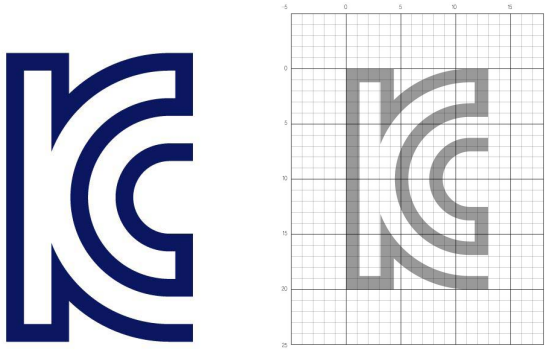
[Appendix 7] <Revised August 04, 2008>

Marking Guidelines for Safety certificate and KPS report

(Related to Article 15 and Article 24)

1. Safety certification and KPS notification mark

A. Marking method and the symbol



B. References

(1) The size of safety certification and KPS notification symbol representing the product as safety certificated and KPS notified shall be adjusted according to the size of the electrical appliance.

(2) The mark for safety certification and KPS notification shall be on the container and package of the product so as to be easily distinguishable, and shall be affixed ensuring it shall not fall off or be printed or stamped.
(3) The safety certification number and KPS notification number shall be displayed closely to the mark for safety certification and KPS notification, and other safety standard related matters and matters designated by the administrator of KATS shall be written in letters in Korean or in English.
(4) The color of the mark shall be black in principle.

2. Safety inspection mark

The safety certification and KPS notification mark shall be used as safety inspection mark, and display “Safety Inspection Completed” and “Product number” below the safety inspection mark.

3. Indicating exemption of safety certificate and KPS notification

The exemption of safety certification and KPS notification shall be indicated by using safety certification and KPS notification mark, and “Inspection Exempted” below it.

[Appendix 8] <Revised August 04, 2008>

Standard of Administrative Measures such as Revocation of Safety Certificate

(Related to Article 16)

1. General standard

A. If there are more than two violations, each case shall be dealt separately.
B. The standard of administrative measure by the number of times of violations shall be applied to a case that had received an administrative punishment of the same violation within recent 3 years. In this case, the date to apply the standard shall be from the date of the first punitive measure to the date of exposure of the same violation.
C. If the person in charge of the administrative measure presumed the violation as a minor one, or he/she presumed that there might be a particular reason for the violation, he/she could reduce the punishment within ½ ranges of the original measure.
D. If the same violation had been committed while the first one was in progress for administrative measures, ½ of the original measure shall be added per every additional violation. In this case the period to ban the use of a certification mark shall not exceed 6 months.
E. If an electrical appliance received administrative measures such as an order of improvement or prohibition against the use of a certification mark for not conforming to the safety standards stipulated in the provisions of Article 3 Paragraph 3 of Act, but still did not carry out the duties, the following standards of administrative measures shall be applied.

2. Standard of individual punishment

Violation	Legal Ground	Administrative Measure		
		1st Violation	2nd Violation	3rd Violation
A. Obtained the safety certificate by false or other dishonest means	Article 8-1-1 of Act	Revoke Certificate	-	-
B. Electrical appliance that is subject to safety certification has been manufactured not conforming to the safety criteria stipulated in the provisions of Article 3 Paragraph 3 of the Act after the appliance has been certified, and falls under the category of minor defects defined by the administrator of KATS	Article 8-1-2 of Act	Order of Improvement	Ban the use of certification mark for 2 months	Revoke Certificate
C. Electrical appliance that is subject to safety certification has been manufactured not conforming to the safety criteria stipulated in the provisions of Article 3 Paragraph 3 of the Act after the appliance has been certified, and falls under the category of serious defects defined by the administrator of KATS	Article 8-1-2 of Act	Ban the use of certification mark for 2 months	Ban the use of certification mark for 4 months	Revoke Certificate
D. Electrical appliance that is subject to safety certification has been manufactured not conforming to the safety criteria stipulated in the provisions of Article 3 Paragraph 3 of the Act after the appliance has been certified, and falls under the category of critical defects defined by the administrator of KATS	Article 8-1-2 of Act	Revoke Certificate	--	--
E. Did not carry out the condition stipulated in the provisions of Article 3 Paragraph 4 of Act	Article 8-1-3 of Act	Order of Improvement	Ban the use of certification mark for 2 months	Revoke Certificate

Violation	Legal Ground	Administrative Measure		
		1st Violation	2nd Violation	3rd Violation
F. Refused, interrupted or evaded the periodical inspection stipulated in the provisions of Article 4 Paragraph 1 of Act	Article 8-1-4 of Act	Revoke Certificate	--	--
G. The result from the periodical inspection stipulated in the provisions of Article 4 Paragraph 1 of Act does not meet the standard for the manufacturing/testing facility and technical competence	Article 8-1-5 of Act	Order of Improvement	Ban the use of certification mark for 2 months	Revoke Certificate
H. Did not carry out self-inspection in accordance with the provisions of Article 4 Paragraph 2 of Act	Article 8-1-6 of Act	Order of Improvement	Ban the use of certification mark for 2 months	Revoke Certificate
I. Did not make out and keep the self-inspection record in accordance with the provisions of Article 4-2 of Act	Article 8-1-7 of Act	Order of Improvement	Ban the use of certification mark for 2 months	Revoke Certificate
J. Self-inspection record, in accordance with the provisions of Article 4 Paragraph 2 of Act, turns out to be false	Article 8-1-7 of Act	Revoke Certificate	--	--
K. Marking of safety certificate in accordance with the provisions of Article 6 Paragraph 1 of Act was not done or done falsely	Article 8-1-8 of Act	Order of Improvement	Ban the use of certification mark for 2 months	Revoke Certificate
L. Disobedience to order under the provisions of Article 19 Paragraph 1 or Article 19 Paragraph 5 of Act	Article 8-1-9 of Act	Revoke Certificate		

[Appendix 9] <Revised August 04, 2008>

Standards for Safety Certification Body Recognition (Related to Article 17)

Any company or organization that falls into one of following Subparagraphs may be recognized as a safety certification body.

1. Domestic non-profit corporation or party which satisfy the following conditions

A. It shall have electrical appliances certification as a major business area.
B. Its organization, human resources and process system for safety certification shall meet general requirements as a certification body and qualifications for inspection and correction body, which are laid down by international standards defined by the International Organization for Standardization (referred as "ISO" hereinafter) and International Electrotechnical Commission (IEC), and shall be designated as a testing or inspection body in accordance with provisions of Article 23 of the Framework Act on National Standards.
C. It shall have all of the required testing equipments by safety standards for more than a half of classified products in Appendix 1 and Appendix 2, and more than 2 testing personnel with more than 5 years of experience in testing per scope of safety certification.
D. It shall be equipped with its own ISO 9000 equivalent quality management system, and have more than 5 qualified certification examiners in accordance with ISO 9000 or provisions of Article 10 Paragraph 3-2 of the Industrial Standardization Act.
E. It shall not receive financial support from manufacturers, and have independence of judgement.

2. Domestic non-profit corporation or party which satisfy the following conditions

A. Shall be accredited by the IEC System for Conformity Testing & Certification of Electrical Equipment.
B. It shall not receive financial support from manufacturers, and have independence of judgement.

[Appendix 10] <Revised August 04, 2008>

Standards for Revocation of Recognition and Suspension of Business (Related to Article 18)

Violation	Legal Ground	Administrative Measure				
		1st Violation	2nd Violation	3rd Violation	4th Violation	5th Violation
1. Obtained recognition as a certification body by false or other dishonest means	Article 10-1-1 of Act	Revoke recognition	-	-	-	-
2. Performed safety certification or safety inspection during business suspension period	Article 10-1-2 of Act	Revoke recognition	-	-	-	-
3. Did not perform safety certification or safety inspection without just reason	Article 10-1-3 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
4. The certification body became unfit to the recognition standards stipulated in the provisions of Article 9 Paragraph 2 of the Act	Article 10-1-4 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business f or 6 month	Suspend entire business for 6 month	Revoke recognition
5.Performed safety certification with violation of safety certification methods, procedures that were stipulated in the provisions of Article 3 Paragraph 3 of Act	Article 10-1-5 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
6. Performed safety inspection with violation of safety inspection methods, procedures that were stipulated in the provisions of Article 5 Paragraph 2 of the Act	Article 10-1-5 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
7. Performed KPS test with violation of KPS testing methods, procedures that were stipulated in the provisions of Article 11 Paragraph 3 of the Act	Article 10-1-5 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition

Violation	Legal Ground	Administrative Measure				
		1st Violation	2nd Violation	3rd Violation	4th Violation	5th Violation
8. Performed safety inspection with violation of safety inspection methods, procedures that were stipulated in the provisions of Article 12 Paragraph 2 of the Act	Article 10-1-5 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
9. Performed safety certification with violation of provisions of Article 3 Paragraph 3 of the Act	Article 10-1-6 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
10. Performed safety inspection with violation of provisions of Article 5 Paragraph 2 of the Act	Article 10-1-6 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
11. Performed KPS test with violation of provisions of Article 11 Paragraph 3 of the Act	Article 10-1-6 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
12. Performed safety inspection with violation of provisions of Article 12 Paragraph 2 of the Act	Article 10-1-6 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition
13. Received more or less fee than defined in Article 21 of the Act	Article 10-1-7 of Act	Suspend part of business for 1 month	Suspend part of business for 3 month	Suspend part of business for 6 month	Suspend entire business for 6 month	Revoke recognition

[Attached Form 1] <Revised August 04, 2008>

(front)

<table>
<tr><td colspan="4">☐ Application for Electrical Appliance Safety Certificate
☐ Application for Imported Used Electrical Appliance Safety Inspection</td><td>Processing Period
45 days</td></tr>
<tr><td rowspan="3">1. Manufacturer</td><td>Business Name</td><td></td><td>Business Registration No.</td><td></td></tr>
<tr><td>Address</td><td colspan="3"></td></tr>
<tr><td>Representative</td><td></td><td>Phone</td><td></td></tr>
<tr><td rowspan="3">2.Applicant</td><td>Business Name</td><td></td><td>Business Registration No.</td><td></td></tr>
<tr><td>Address</td><td colspan="3"></td></tr>
<tr><td>Representative</td><td></td><td>Phone</td><td></td></tr>
<tr><td rowspan="3">3.Safety Certificate</td><td>Product Name</td><td></td><td>Number of Samples</td><td></td></tr>
<tr><td>Basic Model</td><td></td><td>Rating</td><td></td></tr>
<tr><td>Series Model</td><td colspan="3"></td></tr>
<tr><td rowspan="2">4. Safety Inspection</td><td>Product Name</td><td></td><td>Date of Entry</td><td></td></tr>
<tr><td>Quantity of Customs-cleared per Model</td><td></td><td>Model</td><td></td></tr>
<tr><td colspan="5">I hereby apply for electrical appliance safety certificate (imported used electrical appliance safety inspection) as stated above under provisions of Article 9 or Article 14 of ‘Enforcement Regulation of Electrical Appliances Safety Control Act’.

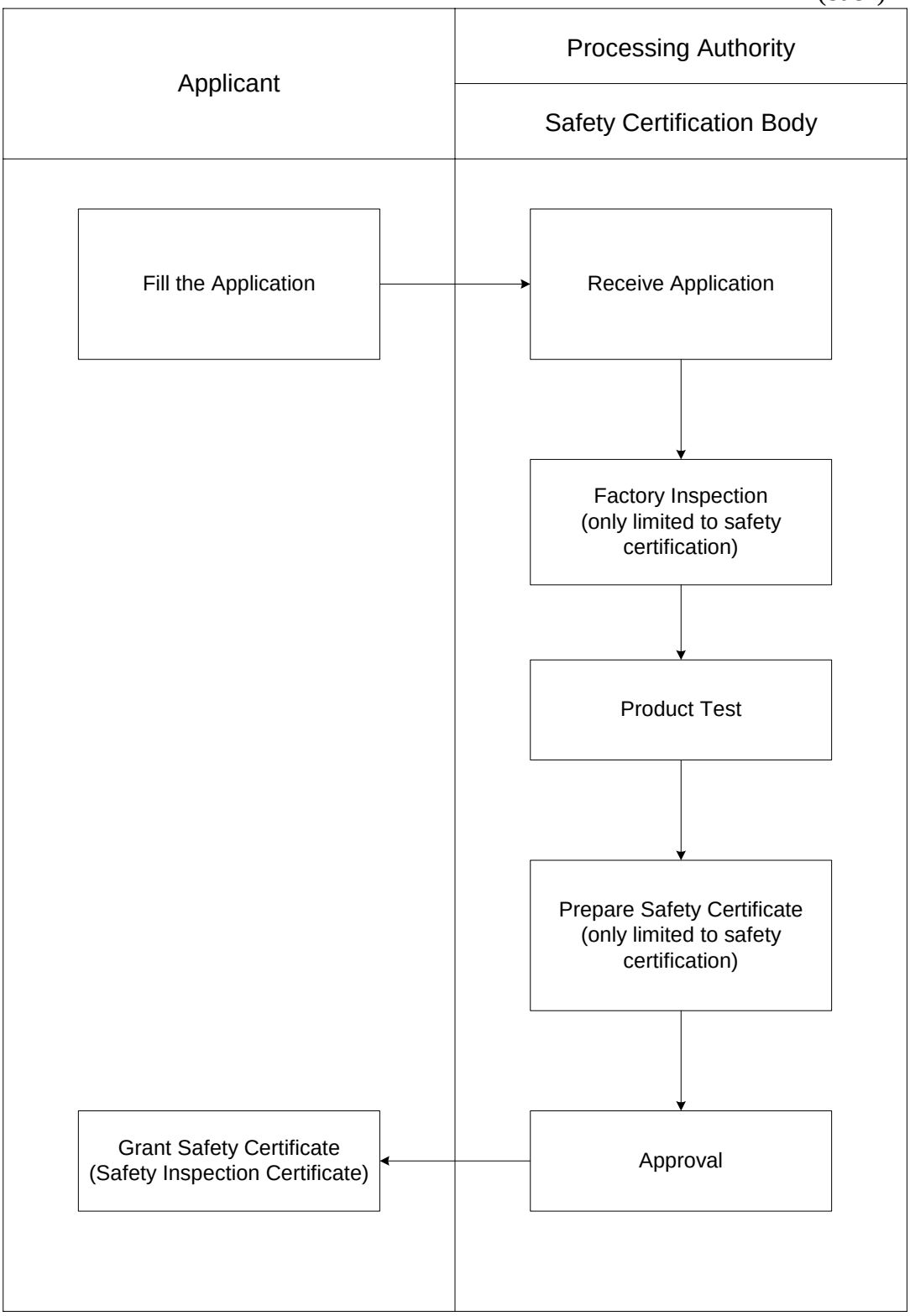
Date:
Applicant: (signing or sealing)

(To) Safety Certification Body</td></tr>
<tr><td colspan="5">Attachments:
1. Product Description (including user manual)
2. An Electric circuit diagram
3. List of parts (only limited to the case of electrical appliance safety certificate)
4. Documentary evidence for agent (only limited to when an agent is applying)
5. Unique product numbers for the whole quantity of applying products (only limited to safety inspection)</td></tr>
</table>

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

* This application will be processed as below.

(back)



[Attached Form 2] <Revised August 04, 2008>

Receipt Number:

Electrical Appliances Safety Certificate

Certificate No. :

Manufacturer :

Representative :

Factory Address :

Product :

Basic Model :

Rating :

Series Model :

Standards :

* Safety certification of electrical appliances is limited to verifications of safety from which to prevent accident due to electrical products such as electrocution and fire complying with Article 2 of Electrical Appliances Safety Management Act. If the certification is to be applied to other laws and regulations, it shall observe the appropriate laws and regulations.

I hereby issue an Electrical Safety Certificate for above product under provisions of Article 6 Paragraph 8 of 'Enforcement Regulation of Electrical Appliances Safety Control Act'.

Date:

The head of safety certification body (sealing)
(The English full name of safety certification body comes here)

Attachments:

1. List of critical components
2. General description of certified product
3. Status of Revisions on Certificate

210mm×297mm (Printing paper (superior quality) 120g/ m^2)

[Attached Form 3] <Revised August 04, 2008>

(front)

<table>
<tr><td colspan="5">☐ Application for Changes on Safety Certificate
☐ Notification of Changes on Korea Product Safety</td><td>Processing Period
15 days</td></tr>
<tr><td colspan="2">Registration No.</td><td></td><td>Certificate No.</td><td></td></tr>
<tr><td rowspan="7">Certificate Information</td><td>Business (Factory)</td><td></td><td>Business Registration No.</td><td></td></tr>
<tr><td>Representative</td><td></td><td>Phone</td><td>Fax</td></tr>
<tr><td>Address</td><td colspan="3"></td></tr>
<tr><td>Product</td><td colspan="3"></td></tr>
<tr><td>Basic Model</td><td></td><td>Rating</td><td></td></tr>
<tr><td>Series Model</td><td colspan="3"></td></tr>
<tr><td rowspan="3">Applicant</td><td>Business</td><td></td><td>Business Registration No.</td><td></td></tr>
<tr><td>Representative</td><td></td><td>Phone</td><td></td></tr>
<tr><td>Address</td><td colspan="3"></td></tr>
<tr><td colspan="2">Change(s) to Apply</td><td colspan="3">Register Series Model ☐
Modify Parts ☐
Change Representative ☐
Change Business Name ☐
Change Address ☐
Other ( )</td></tr>
<tr><td colspan="5">I hereby apply for change(s) on electrical appliance safety certificate, KPS notification as stated above under provisions of Article 8 Paragraph 1, Article 20 Paragraph 1 of ‘Enforcement Regulation of Electrical Appliances Safety Control Act’.

Date:

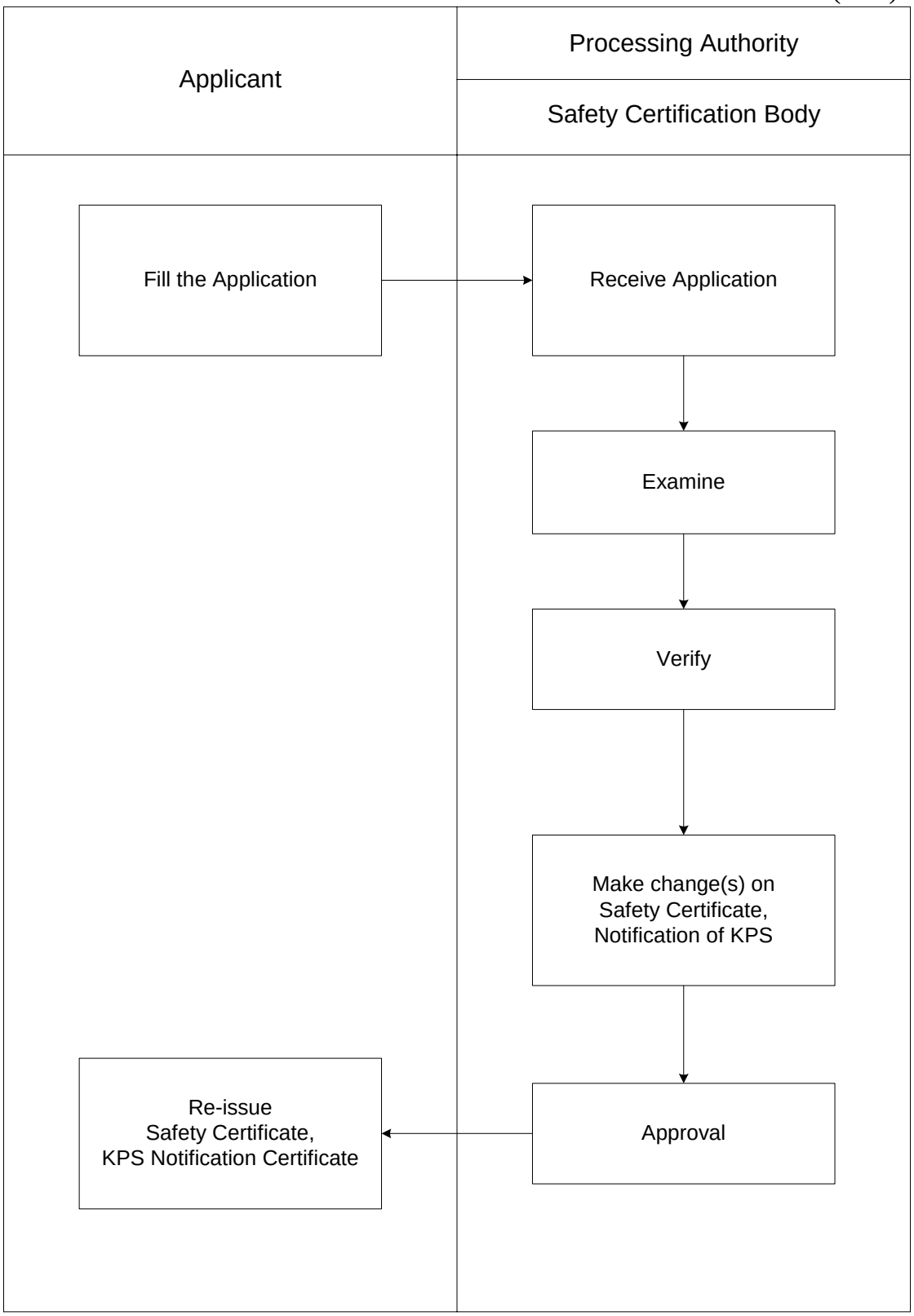
Applicant: (signing or sealing)

(To) Safety Certification Body</td></tr>
<tr><td colspan="5">Attachment: A copy of documentary evidence of change(s)</td></tr>
</table>

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

* This application will be processed as below.

(back)



[Attached Form 4] <Revised August 04, 2008>

(front)

☐ **Application for Confirm Exemption from Safety Certification** ☐ **Application for Confirm Exemption from Notifying Korea Product Safety**					Processing Period 5 days
Applicant	1. Trade Name		2. Phone		
	3. Location				
	4. Representative				
Manufacturer	5. Country of Production (Country of Origin)				
	6. Trade name				
Appliance	7. Product		8. Model		
	9. Rating				
	10. Summary of materials and performance				
	11. Quantity		12. Amount		
13. Reason(s) to be exempted (Corresponding provisions)					
14. Name of Customs of Entry					
15. Place to use the appliance					

I hereby apply for confirmation to be exempted from safety certification of electrical appliance subject to safety certification or KPS notification of electrical appliance subject to KPS as stated above under provisions of Article 7 Paragraph 1 of 'Electrical Appliances Safety Control Act', and Article 7 Paragraph 1, Article 22 Paragraph 1 of Enforcement Regulation of the same Act.

Date:

Applicant: (signing or sealing)

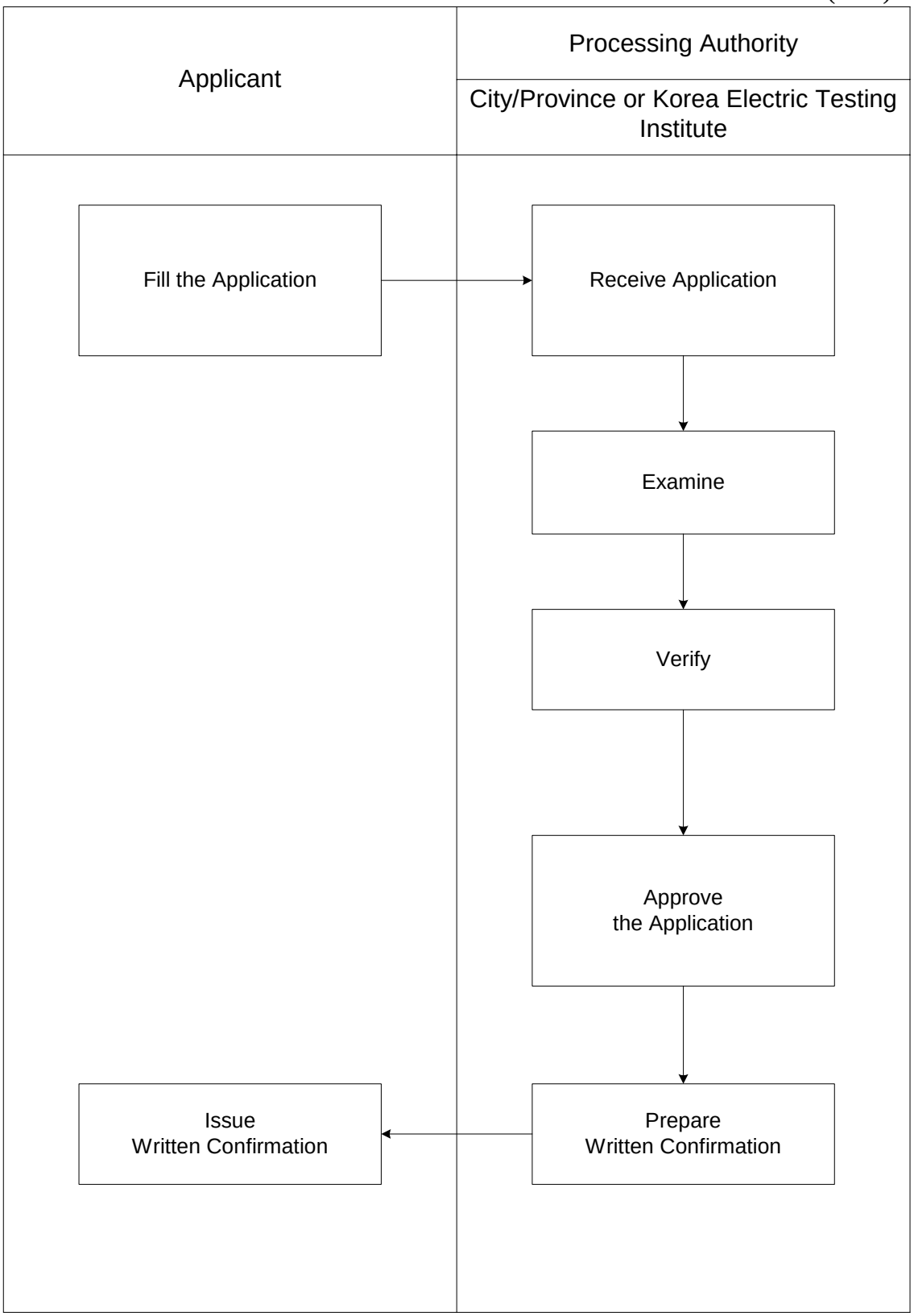
(To) *Mayor/Governor*
Head of Korea Electric Testing Institute

Attachments: 1. Document that proves the electrical appliance concerned is conforming to every Subparagraph of Article 7 Paragraph 1, and one of Subparagraphs of Article 22 Paragraph 1 of 'Enforcement Regulation of Electrical Appliances Safety Control Act'. However, in the case of Article 2 Paragraph 1 Subparagraph 2, Article 4 Paragraph 1 Subparagraph 2 of enforcement Ordinance of the same Act, the documentary evidence is not necessary. 2. Product description and operating manual. But, this may be excluded if the product has been certified to use KS mark, thus the description and manual are displayed on it, and if the product is being imported for exportation.	Fee Fee will be charged according to provisions of Article 10 of 'Enforcement Ordinance of Electrical Appliances Safety Control Act' and Appendix 2 of the same Ordinance.

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

* This application will be processed as below.

(back)



[Attached Form 5] <Revised August 04, 2008>

□ **Confirmation of Exemption from Safety Certification for Electrical Appliances** □ **Confirmation of Exemption from Notifying KPS for Electrical Appliances**				
Applicant	1. Trade Name		2. Phone	
	3. Location			
	4. Representative			
Manufacturer	5. Country of Production (Country of Origin)			
	6. Trade name			
7. Product			8. Model	
9. Rating				
10. Quantity				
11. Name of Customs of Entry				
12. Place to use the appliance				

I hereby confirm exemption of the above products from safety certification of electrical appliances subject to safety certification, from notifying KPS etc. of electrical appliances subject to KPS under the proviso of Article 3 Paragraph 1 of 'Electrical Appliances Safety Control Act' and under provisions of Article 7 Paragraph 2, Article 22 Paragraph 3 of Enforcement Regulation of the same Act.

Date:

Mayor/Governor
Head of Korea Electric Testing Institute

(sealing)

Remarks: The exemption is limited only to the quantity specified in this letter.

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

[Attached Form 6] <Revised August 04, 2008>

<table>
<tr><td colspan="4">□ Application for Confirm Batch Exemption from Safety Certification for Imported Export-only Electronic Appliances
□ Application for Confirm Batch Exemption from Notifying Voluntary Safety for Imported Export-only Electronic Appliances Inspection</td><td>Processing Period</td></tr>
<tr><td colspan="4"></td><td>5 days</td></tr>
<tr><td rowspan="4">Applicant</td><td>Trade Name</td><td></td><td>Representative</td><td></td></tr>
<tr><td>Address</td><td colspan="3"></td></tr>
<tr><td>Business Registration No.</td><td colspan="3"></td></tr>
<tr><td>Trade Notification No.</td><td colspan="3"></td></tr>
<tr><td rowspan="4">Application Information</td><td>Major Items</td><td colspan="3"></td></tr>
<tr><td>Number of Importation</td><td colspan="3"></td></tr>
<tr><td>Period to apply batch exemption</td><td colspan="3">From Date:
To Date:</td></tr>
<tr><td>Purpose</td><td colspan="3">□ Confirmation □ Submit to Customs □ For Business Use</td></tr>
<tr><td colspan="5">I hereby apply for confirm batch exemption from safety certification for imported export-only electrical appliances and for confirm batch exemption from notifying KPS etc. of electrical appliances under provisions of Article 7 Paragraph 5 and Article 22 Paragraph 5 of Enforcement Regulation of Electrical Appliances Safety Control Act.

Date:

Applicant: (signing or sealing)

(To) Mayor/Governor</td></tr>
<tr><td rowspan="2">Attachments</td><td>Documents to be submitted by applicant</td><td colspan="3">Matters to be verified by the officer in charge (Documents that the applicant should submit if the applicant did not agree to the officer with the verification)</td></tr>
<tr><td>A copy of document which proves the quantity of import and number of importation such as Certificate of completion of import declaration</td><td colspan="3">Business registration certificate (1 copy)
(If the applicant did not agree to the confirmation, he/she should submit a copy of Business registration certificate)</td></tr>
<tr><td>Remarks</td><td colspan="4">1. A separate paper may be used for Business Registration Number and Major items
2. Period to apply batch exemption should be less than one year</td></tr>
<tr><td colspan="5">I agree to the officer in charge verifying information via administrative information pool pursuant to Article 21 Paragraph 1 of ‘Act on Promotion of the Digitalization of Administrative Affairs, etc.

Applicant: (signing or sealing)</td></tr>
</table>

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

[Attached Form 7] <Revised August 04, 2008>

□ **Certificate of Safety Inspection for Electrical Appliances subject to Safety Certification**
□ **Certificate of Safety Inspection for Electrical Appliances subject to Korea Product Safety**

Importer/ Distributor	1. Trade Name		2. Phone	
	3. Office Location			
	4. Representative			
Manufacturer	5. Country of Production (Country of Origin)			
	6. Trade Name			
7. Product Name			8.Model	
9. Rating				
10. Quantity per Model				
11. Name of Customs of Entry				
12. Date of Customs Clearance				

I hereby issue a certificate of safety inspection for electrical appliances pursuant to provisions of Article 14 Paragraph 3, Article 23 Paragraph 3 of 'Enforcement Regulation of Electrical Appliances Safety Control Act'.

Date:

Head of the safety certification body
(sealing)

Remarks: The safety certification is exempted only for quantities per model specified in this certificate.

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

[Attached Form 8] <Revised August 04, 2008>

(front)

<table>
<tr><td colspan="5">Application for Safety Certification Body Recognition</td><td>Processing Period
30 days</td></tr>
<tr><td rowspan="5">Applicant</td><td colspan="2">1. Name of Organization</td><td colspan="3"></td></tr>
<tr><td colspan="2">2. Location</td><td colspan="3"></td></tr>
<tr><td colspan="2">3. Phone</td><td></td><td>4. Fax.</td><td></td></tr>
<tr><td colspan="2">5. Representative</td><td></td><td>6. Resident Registration No.</td><td></td></tr>
<tr><td colspan="2">7. Scope of Safety Certification</td><td colspan="3"></td></tr>
<tr><td colspan="6">I hereby apply to be recognized as a safety certification body in accordance with provisions of Article 9 Paragraph 2 of ‘Electrical Appliances Safety Control Act’, and Article 17 Paragraph 2 of Enforcement Regulation of the same Act’.

Date:
Applicant: (signing or sealing)

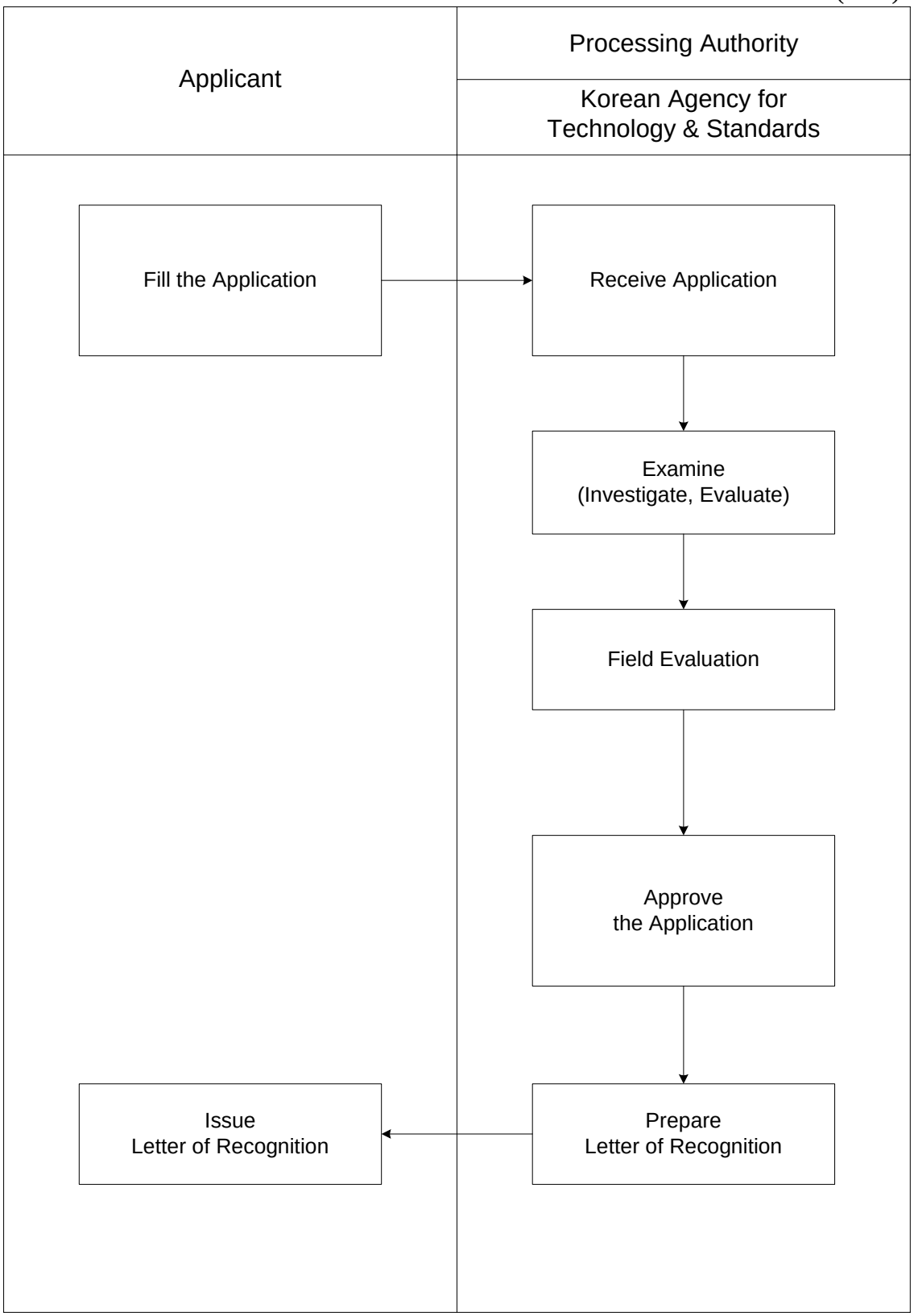
(To) Administrator of Korean Agency for Technology & Standards</td></tr>
<tr><td rowspan="2">Required Documents</td><td>Documents to be submitted by applicant</td><td colspan="2">Matters to be verified by the officer in charge (Documents that the applicant should submit if the applicant did not agree to be verified by the official)</td><td>Fee</td></tr>
<tr><td>1. A copy of Articles of the corporation or code of the organization
2. A copy of business plan with information such as system, status of human resources, testing facility for safety certification
3. A copy of business rules that define the process and methods of safety certification</td><td colspan="2">A copy of transcript of register (1 copy)</td><td>None</td></tr>
<tr><td colspan="6">I agree to the officer in charge verifying information via administrative information pool pursuant to Article 21 Paragraph 1 of ‘Act on Promotion of the Digitalization of Administrative Affairs, etc.

Applicant: (signing or sealing)</td></tr>
</table>

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

* This application will be processed as below.

(back)



[Attached Form 9] <Revised August 04, 2008>

Safety Certificate Mark

Letter of Recognition
of
Safety Certification Body for Electrical Appliances

1. **Recognition No:**
2. **Name of the Organization:**
3. **Location:**
4. **Scope of Safety Certification:**

I hereby recognize above organization as a safety certification body under provisions of Article 9 of Electrical Appliances Safety Control Act and Article 17 Paragraph 4 of Enforcement Regulation of the same Act.

Date:

Administrator of Korean Agency for Technology & Standards (sealing)

210mm×297mm (Preservable paper (first class) 120g/ m^2)

[Attached Form 10] <Newly established August 04, 2008>

(front)

<table>
<tr><td colspan="4">☐ Notification of Electrical Appliances for Korea Product Safety
☐ Notification of Imported Used Electrical Appliances Safety Inspection</td><td>Processing Period
45 days</td></tr>
<tr><td rowspan="3">1.Manufacturer</td><td>Business Name</td><td></td><td>Business Registration No.</td><td></td></tr>
<tr><td>Address</td><td colspan="3"></td></tr>
<tr><td>Representative</td><td></td><td>Phone</td><td></td></tr>
<tr><td rowspan="3">2.Applicant</td><td>Business Name</td><td></td><td>Business Registration No.</td><td></td></tr>
<tr><td>Address</td><td colspan="3"></td></tr>
<tr><td>Representative</td><td></td><td>Phone</td><td></td></tr>
<tr><td rowspan="3">3.Safety Certification</td><td>Product</td><td></td><td>No. of Samples</td><td></td></tr>
<tr><td>Basic Model</td><td></td><td>Rating</td><td></td></tr>
<tr><td>Series Model</td><td colspan="3"></td></tr>
<tr><td rowspan="2">4.Safety Inspection</td><td>Product</td><td></td><td>Date of Customs Clearance</td><td></td></tr>
<tr><td>Customs Cleared Quantity per Model</td><td></td><td>Model</td><td></td></tr>
<tr><td colspan="5">I hereby notify electrical appliances for KPS (Imported used electrical appliances safety inspection) as stated above under provisions of Article 19 or Article 23 of ‘Enforcement Regulation of Electrical Appliances Safety Control Act’.

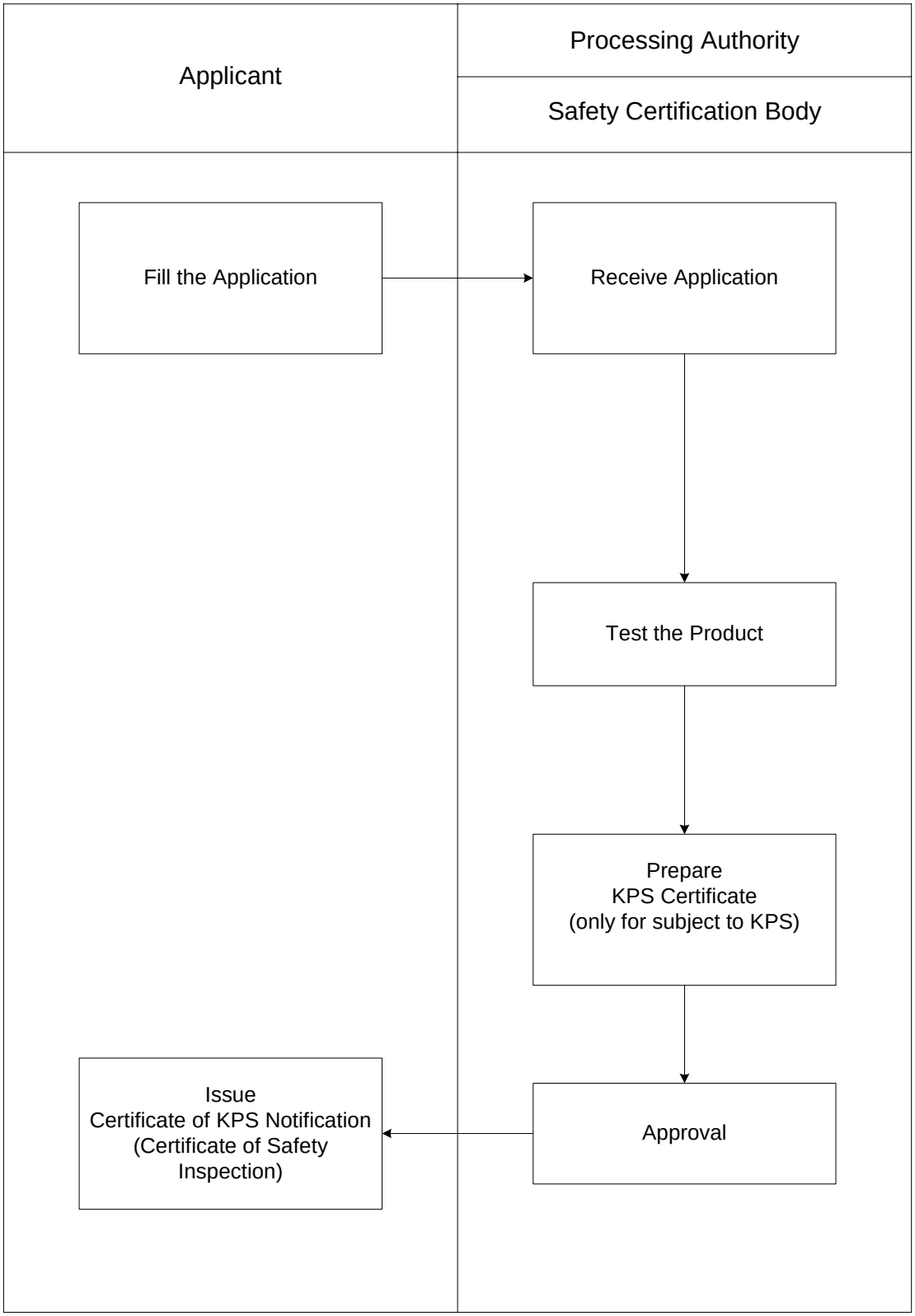
Date:
Applicant: (signing or sealing)

(To) Safety Certification Body</td></tr>
<tr><td colspan="5">Attachments:
1.A copy of business registration certificate
2.Product description (including photos)
3.Test report for KPS (those subject to KPS only)
4.Documentary evidence for agent (limited only when an agent is applying)
5.Unique product numbers for the whole quantity of applying products (only limited to safety inspection)</td></tr>
</table>

210mm×297mm (Newsprint paper 54g/ m^2 (Recyclable))

* This application will be processed as below.

(back)



[Attached Form 11] <Newly established August 04, 2008>

Receipt Number:

Certificate of Korea Product Safety Notification

Notification No. :

Manufacturer (Importer) :

Representative :

Factory Address :

Product :

Basic Model :

Rating :

Series Model :

Standards :

* Safety certification of electrical appliances is limited to the verifications of safety in order to prevent accident due to electrical products such as electrocution and fire complying with Article 2 of Electrical Appliances Safety Control Act. If the certification is to be applied to other laws and regulations, it shall observe the appropriate laws and regulations.

I hereby issue Certificate of Korea Product Safety Notification for above product under provisions of Article 19 Paragraph 2 of 'Enforcement Regulation of Electrical Appliances Safety Control Act'.

Date:

The head of safety certification body (sealing)
(The English full name of safety certification body comes here)

Attachments:

1. List of critical components
2. General description of certified product
3. Status of Revisions on Certificate

210mm×297mm (Printing paper (superior quality) 120g/ m^2)